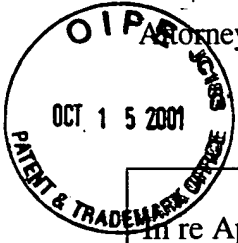


2164 #2  
BT  
11-02-01



Attorney Docket # 5031-2

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Sergio PICCIOLI

Serial No.: 09/882,143

Filed: June 14, 2001

For: Method for Predictive Determination of  
Financial Investment Performance

Examiner:  
Group Art:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on  
October 11, 2001  
(Date of Deposit)

Edward M. Weisz

Name of applicant, assignee or Registered Representative

Signature

October 11, 2001

Date of Signature

Assistant Commissioner for Patents  
Washington, DC 20231

Technology Center 2100

OCT 19 2001

RECEIVED

INFORMATION DISCLOSURE STATEMENT

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO 1449. Copies of the cited references are enclosed.

Also enclosed is a copy of an International Search Report issued in the corresponding foreign application.

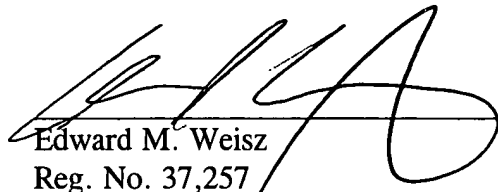
It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

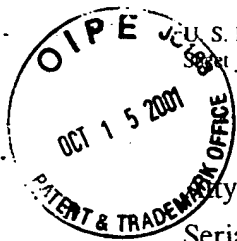
Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By:



Edward M. Weisz  
Reg. No. 37,257  
551 Fifth Avenue, Suite 1210  
New York, New York 10176  
(212) 687-2770

Dated: October 11, 2001



U. S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

Sheet 1 of 1

**LIST OF REFERENCES CITED BY APPLICANT**

Priority Docket No.: 5031-2  
Serial No.: 09/882,143  
Applicant: Sergio PICCIOLI

Filing Date: June 14, 2001

Group Art:

Technology Center 2100

OCT 19 2001

RECEIVED

**U.S. PATENT DOCUMENTS**

*Ex. Intls.		Document No.	Date	Name	Class	Subclass	Filing Date
	AA	6,236,980	May 22, 2001	Reese	705	36	April 9, 1998
	AB	6,088,676	July 11, 2000	H. White, Jr.	705	1	March 26, 1999
	AC	6,078,904	June 20, 2000	G. Rebane	705	36	March 16, 1998
	AD	5,313,560	May 17, 1994	T. Maruoka et al.	395	54	May 8, 1991
	AE	5,918,217	June 29, 1999	J. Maggioncalda et al.	705	36	December 10, 1997
	AF	5,893,069	April 6, 1999	H. White, Jr.	705	1	January 31, 1997

**FOREIGN PATENT DOCUMENTS**

*Ex. Intls.		Document No.	Date	Country	Name	Class	Subclass	Translation Yes/No
	AG							
	AH							
	AI							
	AJ							
	AK							

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AL		
	AM		
	AN		

EXAMINER: \_\_\_\_\_

DATE CONSIDERED: \_\_\_\_\_

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.